

May 29, 2009

Sent Via: E-mail, Read Receipt Requested: James.D.Barton@faa.gov

Original sent by Certified Mail Return Receipt Requested Receipt No. 7003 3110 0001 5995 3168

Mr. James D. Barton Manager Federal Aviation Administration Memphis Flight Standards District Office 2847 Business Park Dr. Building J Memphis, TN 38118-1556

RE: Use of Component Maintenance Manuals (CMMs) to Perform Overhauls

Dear Mr. Barton

As you may know, the Aeronautical Repair Station Association (ARSA) represents the certificated repair station community in matters of regulatory compliance that may have a national or international impact. It has come to ARSA's attention that there is an issue within the Memphis Flight Standards District Office (FSDO) with the use of the term "overhaul".

A member has reported that its FAA inspector noted the following discrepancy during an audit of the repair station:

On several FAA Authorized Release Certificate forms, 8130-3; block 12 indicated that the shop had overhauled components in accordance with (IAW) the Component Maintenance Manual (CMM). A review of the CMM's (sic), for the components overhauled, did not contain instructions for overhaul from the manufacture (sic) of the component.

The member also reported that the FAA's position, reiterated by the inspector's Front Line Manager, Mr. Thomas Bennett during a meeting, is that unless the component maintenance manual contains an "overhaul" section or is titled "overhaul manual", the instructions cannot be used to support the entry of "overhaul" in the maintenance record.

The Association respectfully disagrees with that position. Whether the manufacturer of an article uses "overhaul" in its maintenance manual or instructions does not dictate the use of the term in a maintenance record. The regulations and the Administrator's Mr. James D. Barton May 29, 2008 Page 2 RE: Use of Component Maintenance Manuals (CMMs) to Perform Overhauls

interpretation set forth the requirements for the proper use of that term, not the manufacturer.

The term maintenance is defined in 14 CFR<sup>1</sup> section 1.1 as meaning "inspection, **overhaul**, repair, preservation, and the replacement of parts" (emphasis added).<sup>2</sup> All maintenance must be done in accordance with the performance standards set forth in section 43.13. Section 43.13(a) indicates that the manufacturer's maintenance manual or instructions for continued airworthiness contain acceptable methods, techniques and practices for accomplishing work.

In order to use the term "overhaul", a maintenance provider must perform an extensive scope of work. That is, the repair station must disassemble, clean, inspect, repair as necessary, reassemble and test the article in accordance with the procedures developed by the manufacturer.<sup>3</sup> The FAA has refined the term in the attached legal opinion that states the disassembly need not be to the point where the article (or any of its component parts) is, in essence, destroyed. The regulations does not limit the use of that term to manufacturer instructions that contain the term "overhaul", rather they dictate the scope of work necessary to ensure that all tasks are accomplished (or determined unnecessary). Therefore, a repair station may use the term "overhaul" provided the necessary work scope has been accomplished in accordance with the section 43.13(a) methods, techniques and practices.

To the Association's knowledge, the reason for the FAA's position has not been stated in writing. We respectfully request that the FSDO do so or alternatively, determine that the term can be used to describe work performed in a maintenance record provided the work scope required by section 43.3(a) has been accomplished (or determined unnecessary) and confirm that fact in writing.

We look forward to your response; if you wish to discuss the issue, please do not hesitate to contact me.

Your Servant,

Sarah MacLeod

<sup>&</sup>lt;sup>1</sup> All reference will be to 14 Code of Federal Regulations (CFR) unless otherwise noted.

<sup>&</sup>lt;sup>2</sup> The term specifically excludes preventive maintenance, which is defined in that same section with a list of items set forth in part 43, App. A(c).

<sup>&</sup>lt;sup> $^{3}$ </sup> See, section 43.2(a).

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RE: Use of Component Maintenance Manuals (CMMs) to Perform Overhauls

cc:	William M. Bossert, Manager	William.M.Bossert@faa.gov
	Central Region Flight Standards Division	
	Ross Elmore, Manager	Ross.Elmore@faa.gov
	Technical Standards & Evaluation Branch	
	Thomas J. Bennett, Front Line Manager	Thomas.J.Bennett@faa.gov
	Memphis FSDO	-
	Daniel Merrell, Aviation Safety Inspector – Avionics	Dan.Merrell@faa.gov
	Patricia K. Williams, Aviation Safety Inspector -	Patricia.K.Williams@faa.gov
	Maintenance	C

ATTACHMENT LEGAL OPINION 1991-62 November 26, 1991

## **Interpretation 1991-62**

### FAD Digest of Interpretations:

#### FAR 43.2

Where disassembly would destroy it, a part, component, or subassembly of a larger assembly or product may be deemed "overhauled" within the meaning of FAR § 43.2 if it can be shown to be airworthy by inspection, examination, or tests that do not require disassembly beyond its normal state.

#### FAR 21.331(a)(1); FAR 43.2

Any part that is deemed "overhauled" within the meaning of FAR § 43.2 also meets the intent of the term "newly overhauled" as that term is used in § 21.331(a)(1).

Source of Interpretation: Letter to J. E. Murdock III from Donald P. Byrne, Assistant Chief Counsel, Regulations and Enforcement Division, dated November 26, 1991.

The Chief Counsel has asked me to respond to your letter of June 18, 1991, concerning the Federal Aviation Administration's interpretation of the word "overhaul" as it is used in the Federal Aviation Regulations (FAR), generally, and specifically at FAR section 43.2(a), 14 C.F.R. § 43.2(a). We will also address the interpretation of the word "overhaul" contained in the March 25, 1991, letter to the Pratt & Whitney Overhaul & Repair Center from our Flight Standards District Office in Windsor Locks, Connecticut.

As your letter noted, FAR section 43.2(a), on "Records of overhaul and rebuilding," presently reads, in pertinent part:

(a) No person may describe in any required maintenance entry or form an aircraft, airframe, aircraft engine, propeller, appliance, or component part as being overhauled unless—

(1) Using methods, techniques, and practices acceptable to the Administrator, it has been disassembled, cleaned, inspected, repaired as necessary, and reassembled; and

(2) It has been tested in accordance with approved standards and technical data, or in accordance with current standards and technical data acceptable to the Administrator . . . .

Your concern was directed to the interpretation of the term *overhaul* as it applies to items or parts that are incapable of nondestructive disassembly. You noted, as examples, parts such as spacers, blades, vanes, cases, and shafts, which typically undergo cleaning, inspecting, repairing, and testing during their overhaul. Such parts also typically undergo those processes during the overhaul of larger products of which they are components.

Your letter also points out that the Preamble to the rule (47 FR 41076, Sept. 16, 1982) included a paragraph that provides guidance useful in interpreting the section. That paragraph stated:

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Staff Attorney,

sportation Division

## **FEDERAL AVIATION DECISIONS**

Several commenters state the term "completely disassembled" is inappropriate because in numerous instances "complete" disassembly would damage the product beyond further service. The word "complete" is deleted. However, it is intended that disassembly should be to the extent required to make a complete determination of conformity with the product's original qualities.

We agree that the quoted language from the Preamble aids in interpreting the regulation. Both logic and the Preamble's language compel the conclusion that complete disassembly and reassembly of certain parts are not necessary if, in their normal state, they can be examined to determine with certainty their conformity with their original qualities. Indeed, while you observed that one could theoretically further disassemble such parts (e.g., by cutting the material), such analysis would actually damage the part. A part need not be disassembled to this extent to be eligible for a determination that it has been overhauled if it has been subjected to inspections, examinations, or tests capable of accurately determining its airworthiness.

When a part, component, or subassembly of a product has been disassembled (to the extent contemplated by the above Preamble language), cleaned, inspected, repaired, and tested in accordance with standards or data approved by or acceptable to the Administrator, it may be deemed overhauled within the context of FAR section 43.2. Consequently, a part, component, or subassembly of that larger assembly or product should also be deemed overhauled if it can be shown to be airworthy by inspection, examination, or tests that do not require disassembly beyond its normal state. Indeed, such disassembly would, in effect, destroy the part. Accordingly, it is our opinion that the interpretation of the word "overhaul" advanced by the Windsor Locks Flight Standards District Office in its March 25, 1991, letter is too narrow in the context of the above-mentioned circumstances.

It is also our opinion that any part that is deemed overhauled within the meaning of FAR section 43.2, also meets the intent of the term "newly overhauled" as that term is used in FAR section 21.331(a)(1), with respect to the issuance of airworthiness approval tags for Class II products.

I hope this response has addressed your concerns and that it is helpful to you.

# FAD Digest of Inter

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